

UT03 PCT/DO/EO/916 07 NOV 2002

Due Date: November 7, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hyo Jeong Hong et al. Examiner:
Serial No.: 09/856,114 Group Art Unit:
Filed: May 18, 2001 Docket: G&C 118.13-US-WO
Title: HUMANIZED ANTIBODY SPECIFIC FOR SURFACE ANTIGEN PRE-S1 OF HBV AND
PREPARATION METHOD THEREOF

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

'Express Mail' mailing label number: **EL719115327US**

Date of Deposit: **November 7, 2002**

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By: 

Name: Suzie McCleave

BOX PCT
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Form PCT/DO/EO/916 (371 Formalities Notice) – Notification of Defective Response.
- ☒ Statement Regarding Sequence Listing Under 37 C.F.R. 1.821-1.825 in Response to Notification of Defective Response.
- ☒ Computer readable and paper form of SEQUENCE LISTING. Applicants state that the contents of the paper copy form of the SEQUENCE LISTING section of the present application, and the computer readable form submitted herewith are the same, and include no new matter.
- ☒ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

CUSTOMER NUMBER 22462

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OCT 11 2002

Gates & Cooper LLP

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 United States Patent and Trademark Office
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/856,114	Hyo Jeong Hong	118.13-US-WO

INTERNATIONAL APPLICATION NO.

PCT/KR99/00699

I.A. FILING DATE

11/19/1999

PRIORITY DATE

11/19/1998

 Gates & Cooper
 Suite 1050
 6701 Center Drive West
 Los Angeles, CA 90045

CONFIRMATION NO. 5095

371 FORMALITIES LETTER



OC000000008909336

Date Mailed: 10/07/2002

11/7/02 Response Due - Final

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Assignee Statement
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

Applicant's response filed 05/18/2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 09/17/2001 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the

2

requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- Applications contains nucleic acid or amino acid sequences; it does not comply with the sequence requirement Figures 1 and 3 contains sequences not found in the CRF
- APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

• For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 305-3653

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/856,114	PCT/KR99/00699	118.13-US-WO



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11/19/1999	11/19/1998

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CONFIRMATION NO. 5095
371 WITHDRAWAL NOTICE

OC000000008909246

Date Mailed: 10/07/2002

WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice mailed on 03/19/2002 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the enclosed Notice. We apologize for any inconvenience this caused.

SHELBY J VIGIL

Telephone: (703) 305-3653

PART 1 - ATTORNEY/APPLICANT COPY